ONSTITUTIONAL
RULE
IN A
PARTICIPATORY
DEMOCRACY '
xx
The National Party's
framework
for a new
democratic South Africa

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QLF L'Cl
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Prcloriu
4 September 1991
Dear Nationals!

The Federal Cuncil of the National Party presents to you a document containing a broad oulinc of our views conceming a constitution for the nsw South Africa. These Vicws ware developed within the ranks of the National Party and we invite your cmment and deliberation.

What is prescned here is by no means a final comstilution; in fact, the elements of a new constitution will have to be thrashed out by way of intensive egotiztions amongsmll the participating parties. This document is a summary of the principles undapinning our views on a new constitution and is intended to demonstrate how thee principles may find expression in such a constitution. As is quite cvicnt from our theme, we are striving for a constitution under which South A'ricz-t might rightly be called a "constitutionszl stare." Such u constitution shall cn'luil arbitrary action on the purl oli rulct's us well us ensuring that the process of government shall be conducted within proper bounds. This represeits the first pillar on which our views are based. The second pilur is that we propountl a system of 'rt'u'riciparoqv democmacy". This term indtates that political power shall not be vested solely in the hands of any single itdividuul, political pany or group. We therefore procl'aim our opposition to domination of any kind. We favour a system which includes: 3 mgr than eggludgs 'arties and groups.

I trust that youwill let us have the benefit of your deliberations and conr mums. and that you will thus become co-builders ol' a constitution which will herald a new era ofjrstice, peace and prosperity for South Africa. With kind regards

Leader of thellntional Party

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3% w . ' CONSTITUTIONAL RULE " "m 3; WW 1 . IN A PARTICIPATORY
'5'- mm. insights 2 i ' DEMOCRACY
L - A Thrce-tier Govcrnmnt '
- The Seperation of powrs 4 I!
Purgiciggtorx ngogrgy: ngg Eillggs 5 l
- The First Pillar: A Corstitutional State ' BASIC POINTS OF DEPARTURE "
- The Second Pillar: Paxicipatory Democracy 8 - 1!
MW i a DC WC!" ' P itica Model 10 The National Party has repeatedly committed itself I
- Introduction to the creation of a new constitutional dispensation I
- Central Government 1 l .I
through negotiation. Such a new dispensation must be
based on cenain fixed points of departure. A system
must be sought which, inter alia
5 Parliament
ll The First House
1: The Second house
5 Executive Authority 12 $ ensures that universally accepted values
- Regional Government 13 and norms in South Africa are maintained
5 Introxluction 1: is based on universal franchise in a demo-
:k The Legislative Authoity 14 cratic structure of government
'l The Executive Authoxty 1: is free from apartheid and discrimination in
{\tt E} l: Sub-regions V any form '
\rm E^\prime - Local Government ^\prime 15 "5 is free from domination ^\prime
33 $ Constitutional Framcvork 5 establishes an ordered and orderly society . '
L: '5 Participatory Democrcy at Local Level 15 g l: makes good government possible '
'4? $ The City Council _ f 5': ensures justice for all , :23 l: Neighbourhood Coum'ls 17 . l: promotes a market-orientated economy I
:1 Freed m and u. ti .r all in he : coupled with private initiative and social
3:3 MM 13 l responsibility
9;. - Political say and particpation in \_ , 1: accommodates the cultural differences in
23:. .govemment structures ;1 South Africa l
:1 - Protection against the buse of power 19 '4: enables all South Africans to share in 1
'3" - The recognition of fret and autonomous 1 peace, progress and P1135139r ity
5: fields of interest of communities 19 l ';
35 i There are considerable differences of opinion as to
Compiled and issued by the Federal (Juncil of the National Party. P O Box 56503, Arcadia.
the specific constitutional model in which these basic.
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points of departure may best be realized. In order to
:1 achieve the best possible result the National Party is
Ui- striving for
T.T.
3 negotiation: at national level in order to reach
agreement on the broad stmcture of govern-
ment and to establish the position of central,
5 regional and local authorities within that
' structure
at negotiation at regional level so that the needs,
aspirations and problems of the residents of
such a region may be pr0perly accommodated
J! t' negotiation at local level in order to accom-
, plish co-operation and harmony at grassroots
level.
!% STRUCTURAL PRINCIPLES
Q A THREE-TIER GOVERNMENT
11.
3; The National Party proposes a thi'eentier govern-
E5 ment in which full legislative and Executive functions
it and authority are conferred on can at government and
IN
regional and local authorities. Regional and local
b-J
.31: authorities are therefore notmerely administrative
IE: extensions of-the central government; they are not
a merely the consequence of decentralized administration;
f; on the contrary, every tier is "gmemmeutii in its own i
:sz- right, with its own
CL
3% t elected authority that is responsible to the
i v ters
t legislative and executive power
t': tax base.
A thtee-tier system of government takes account of
t': the rich diversity of the population of South
Africa. the needs of communities in regional and
local context, and the consequent need for self-
determination in regional and local context
3 the need tu bring government us close :0 the ^{\prime}
people as possible, so that decisions can be taken
ata level where the citizenis position is best
understood
$ the need for a rationalized and effectixe state
administration.
The question is how the boundaries of regions are i
to be determined for regional government purposes. It is I
essential in any event for the present multiplicity of
second-tier governments, consisting of four p'ovinces, l
six seif-goveming territories and three own alfairs '
administrations, to be simplified and included in a single
system of regional government. It is suggested that the
present nine development regions may presett a good
starting point for negotiation about new regional
boundaries. Naturally adjustments to these will have to I
be considered. The present development regbns which
may be used as a starting point currently conprise
Region A (Western Cape), Regio'n B (Northern Cape),
Region C (Orange Free State and sztqwa), Region D
(Eastern Cape). Region E (Natal ztnd KwaZuu).
_ Notes
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i.
Region F (Eastern Transvaal and KaNgwane). t prevent the executive authority from domi-
Region G (Northern Transvaal, Lebowa and --
t mm'ng the legislative authority, and
.- Gazankulu), Region H (the PWV area and KwaNde- vice versa
1 belc) and Region J (Western Transvaal).
Notes
$5 cortfer On Parliament the authority to call the
Although the present development regions inclue e xecutive ,0 account
the four independent national states (the TBVC stateh
in terms of agreements for purposes of co-operation ti-
garding regional development, their relationship withh
h confer on the judicial authorityjurisdic-
(ion. based on the Constitution and the i
new South Africa will have to be negotiated with eac. Charter of Fundamental Rights, to t
state individually. set aside Acts of Parliament and actions of '
the executive. I
As far as local authorities are concerned. munici
pal boundaries will have to be delimitated on an appn!!
priate geographical basis so as to replace cun'ent PARTICIPATORY DEMOCRACY: I,
boundaries based on race. A delimitation authority TWO PILLARS I
could handle this task. A question which requires 1
attention is whether the concepts ttmunicipality" or For the framewhork sketched above to
really satisfy I
ucity council" should possibly be extended to include.
the unique needs of the South African situation, and to
conform to our basic points of departure, it is necessary
to frame the constitution in such a way that
the whole of a district (that is. a town together with it:
rural district).
at:
a constitutitmal state is established
THE SEPARA'I'ION OF POWERS
"t a system is designed which will ensure the
A clear separation of the legislative, executive ad realisation of a participatory democr
acv.
judicial powers is a characteristic of modern democra
cies. Such separation is accepted as an essential featue
of a new dispensation in South Africa.
Ub-cb LUH ngber FHtIUHLH
T HE FIRST PILLAR:
_' A CONSTITUTIONAL STATE
r" The separation of powers prevents an over-con-
g centration of power being vested in a specific part of TllC (Clilll rule of law is USCd
 lll constitutional de-
11 government and cuntributes to achieving checks and hates to express the pursuit ufjusl
icc and a limit an the
n balances. Consequently the constitution must chhin _. powe Of the state. . A- _ ,- . V
ml. 1; . 't e , - v ' \_, ' ' ' e ational Party accepts the rule of law as the
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foundation on whizh suh endeavours must be based. However, this term wasdeveloped within the distinci tive milieu of the Westminster system and an unwritten constitution. The :ermzonstitutional state is therefore used to indicate tlEt Wtat'e striving for a system that may rightfully be escxbetl as liconstitutional government": a system it whih the constitution and the law are the source of jJstic-, and at the same time serve to curtail the powem of givernment. The concept "costitutional state'i' expresses the view that the consttutitn of a country should regulate the power of gaternnent in such a way that freedom, justice and .egal certainty are guaranteed Thus we are conerned with a constitutionally ITI u . I I a 3 entrenched legaldtspnsutton mvolvmg seven prmu .9 ciples: EL: 3? t The constitutiun must be the all-embracing crit? terion and guideline hr the state and the cttizen. Cong sequently it wil enj0' a higher status than all other ; law; it may onl_v be mended if special procedures are 3 followed and cumplince with its prescriptions will be 53 enforceable by he cmrts. 7' "t A Charter ot'7undumental Rights must be con- . : stitutionally pr ectel and legally enforceable. This :3 will equip the tizerto protect himself against unlaw-Q" fill action by g ernnent. Effective protection of the fundamental rights c' the individual will at the same 5 q of, ._ t A-.._aa_' r. groups and communities. W a: An independent judiciary isa cornerstone of the constitutional state. An independent court structure must have the jurisdiction to declare Ace. of Parliament and actions of the government null and void if they do not comply in all respects with the criteria of the Constitution and the Charter of Fundamental Rights. The individual must have free access to the courts. "t Organizations and institutions that function in non-political spheres must enjoy the highest possible measure of self-determination in respect of their own fields of interest in the community. Full recognition must be given to their autonomy in this regard. , i: Mechanisms must be builtin to prevent the abuse of government power and state stitictures. The office of an independent and objective Ombudsman must be instituted. The Audittir-Generztl. the Public Service Commission and the Reserve Bank must be vested with greater autonomy. and a pmcedur: for the appointment and independence of the Bench. of judges must ensure the objectix'ity, professionalism I \$ The integrity of the constitution must be ensured. The constitution must be protected against infringements. A system must be developed that ensures effective and balanced control over the secun'ty forces as the instrument for maintaining the emstitution at all times. At" An impartial and professional civil service with career security for employees must be ensured and the ' Commission for Administration must be vested with sufficient authority. 1

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CDN EMBRSSY PRETC'F'IR
Building these seven principles into the
constitution effectively, will ensure that
t the interests of the citizens, as entrenched in
the constitution, are respected by govern-
ment
't the fundamental rights of the individual, in-
cluding rights exercised in group and com-
munity context, are protected against in-
fringement
i' the government remains subject to the law,
and cannot take arbitrary decisions
1' the government will not interfere in Fields
where there is no legal authorization for
t doing so
it the abuse of power and maladministration
are prevented
it the constitution is maintained.
THE SECOND PILLAR:
PARTICIPATORY DEMOCRACY
By tiparticipatory democracy" is meant that a
system of government is developed in which a number
of political parties effectively participate and in which
power-sharing therefore takes place. This is in contrast
to the Wextminster system in which one party exclu-
sively enjoys power.
Mmcwwh
WF- W-
Participatory democracy takes into account the
diversity of South Nrican society and the reality of the
existence of a multiglicity of socio-economie and cul-
tural interest groups. Such groups do not exist in the
community because : hey have been created or recog-
nized in terms ot'lcgslation, but by virtue Of the fact
that people naturallnand voluntarily associate with one
another because they have some kind of interest in
common. In its Five Year Action Plan of 1989 the
National Party unde 00k to seek, through negotiation
between leaders, a now just and meaningful basis than
race and colour on nhich groups may be defined for
purposes of political participation. In the constitutional
sphere the political (am! is: the most effective means of
furthering the intereas of such groups. In other spheres ,
interest groups detin: themselves in terms of other
criteria. The National Partyis conviction is that a new
constitution should offer the opportunity for every
viable political partyto play an effective role at local,
regional and central government levels.
This concept my be put into effect in twn ways:
t': First, politietl power may be divided among
various autlnrities. Most important here is
the distribution Of.power among the differ-
ent tiers of gwernment. This is normally
referred to a; the principle of devolution
of power.
Functions must be distributed among the differ-
ent tiers ofgovernmmt in such a way that the
constitution confers 'utonomous authority on every tier.
(That is. original antlentrenched authority With which
Q!51.e e'f 'h .- _ _':
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ates ' h Notes

(T N constitution must therefore stipulate which powers and constitutional models that may be appropriate for the $\mbox{\it t}$ -

:Sx duties are to be vestedin the central government, SOUlh African Situation.

1': regional government and municipal or local govern-

ment. In each case it nust be determined which tier 01' CENTRAL GOVERNMENT

government can perform a particular function in the

most appropriate and :ffective manner. While some Parliament

functions may as a wiolc be allocated to one of the

three tiers, it will be appropriate to spread other It is proposed that Parliament should consist of

functions among all thee tiers so as to allocate to two Houses. Both Houses must adopt le gislation.

each tier that aspect cf :1 function which can be .

. handled there most efectively in the interest of the The First House community.

The First House is elected proportionally, so that

it Secondly, aneffective say and partici- each political party receives a number of seats in

 \cdot _ pation in state power for a number of accordance with the share of the political support which

: parties may be brought about. that party enjoys nationally on the strength of a general i election. The electoral system may further be so

These princities are elucidated below by outlin- organized that voters are also given the opportunity to

ing the framework 01a model. express their preference for specific candidates in

E. particular electoral districts, without the requirement of

f5 proportionality being sacrificed'

3%: PARTICIPATORV DEMOCRACY: '

k A POLITICAL MODEL Legislation will be passed by a simple majority.

UE: but will be subject to a weighted majority (eg. two- I

E3 INTRODUCTION thirds) in respect of those issues entrenched in the Con-

LLJ sititution. 4 l

:3. This expositior presents the framework of a model

P3 embodying the aboxe principles. The National Patty ' '5

:3 has already received a mandate from the voters to The Second House it

.4 negotiate a new comtitution based on these principles.

U This particular mod: 1 is not necessarily a final proposal A Second House. which is smaller than the First

\$1... which cannot be attended. It may be revised as a result House, is proposed. An equal number of seats in the

3,1 of further reflectionin the NP and by negotiations and Second House will be allocated to each region. Each

WE also in the light of he expected reports of the South , political party which has gain ed a specified amount of h

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, Suchdsyuemts apple in .ermal 'yU U ' . - i- u - .- ,. ..

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Q support in the election in the t'egiorls legislative body parties. Tg_
h will be allocated an equal number cf the seats for that I
E region in the Second House. Thus every democratic Thus a multiparty government (Of Whic
it party which enjoys a significant amount of support in European coalition-style-govemme
nt is an example) is ll
Li the region, will be represented in th: Second House. prefened to a system where the ma
jority party alone
This will result in equal representation of both the re- forms the executive of government
. (The Westminster
gions and those political parties witt signifieant sup- system usually ICSUIIS in this si
tuation)
port. .
The present constitution excessively concentrates
The functions of the Second Hmse are to functions and authority in a single person, the S
President. Instead. it is proposed that the office of head
it deliberate on the hills which are approved by of state and of government should be ves
ted in a collec-
the First House and for wlich increased tivc body known as the Presidency. The Presidency
 will
majorities are not requiret' and to pass them consist of the leaders of the three largest
parties in the
by a simple majority First House. In the event that the three largest parties do
not together represent the majority of the voters, the
at deliberate on and pass by a weighted Presidency will be supplemented by as many additi
onal
majority legislation which leaders, in order of the size of their party, as may be re-
quired to represent ajoint majority. A party that quali-
. amends the constitution ties in these temts may however, if it so wishes, abstain
g 1 - relates to the interests of minorities from participating. ,
E," - relates to the inter of regions I
i3 - ls entrenched in tlimnstitution The chairmanship may rotate among the members I
'5 on an annual basis.2 Decisions are taken by cunsenmn. )
:53: at: initiate legislation relating to circumscribed Likewise, a State President may b
e elected on a rotating
E matters and which affects he specific basis from the ranks of the Presidency.
5 interests of minorities and legions. I
g The Presidency, by consensus, appoints ministers
:1: who form a multiparty Cabinet and who are obliged to
2 carry out the policy of the Presidency.
9 Executive Authority
E: The two Houses of Parliament may pass a motion
:3 The core of the National Party'sproposals is that of no cunlidcuce iii the Presidency
collectively (but not I
I: the executive should not be constitutfd from one party in individual members), in the
Cabinet and in individual
Ь1
alone. but from members of a numbq of the major Ministers.
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REGIONAL GOVERNMENT
Introduction
It has already been mentioned in the preceding
section that provision is made for the representation of
the different regions in the Second House of the central
Parliament; also, that the present nine development
regions may be used as a point of departure for the
planning of a regional government dispensation. The
composition of such a regional government is sug-
gested as follows:
To ensure partidpatory democracy and power-sharing
for a number of political parties, measures for effective
minority protection must also be built in at
the second and aim levels of government in accor-
dance with the pinciples already embodied at the tirst
level.
The Legislative A uthority
An elected Legislative Council for every region is
proposed, in whbh
:t the numerical strength is determined by the
size of tin voting population
1: the representatives are elected from electoral
districts within the region on a proportional
basis
alt
indirect nomination of part of the Legislative
Authority by third-tier authorities, or
possibly by subregions, may also be
considered
, :k decision-making procedures provide for th
I protection of minority interests and certain
I circumscribed matters.
The Executive Authority
The Executive Committee of a region may be mde
up of three to five leaders of those political parties wich
have a predetermined minimum representation in the
Legislative Council, according to the same system as
already set out in regard to the Presidency at the first
level. The Executive Committee must function collet
tively as a unit, and each of its members must be respn-
sible for a specific portfolio.
Sub-regions
Within regions, the possibility of sub-rcgions or
district authon'tics for districts with particular interest
and cohesion may he provided for as an option.
g l
I LOCAL GOVERNMENT I
Constitutional Framework I
The National Party proceeds from the following
points of departure:
t't The basis of the local govemment system -
namely that local government is a democratically
elected, autonomous tier of government, which withints ,
own area of 'urisdiction is in e_ ' '
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Note; i 1'. t 11

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3x Notes
Nx lative, executive and fiscal authority - must be laid
X down in the constitution and entrenched.
t A national Local Government Act, which must
be a product of the (national) constitutional negotiation
process, must establish the broad principles and stm-
tural framework of local government.
it Following negotiation at local level. aformal
agreement or charter must be drawn up for every local
authon'ty and promulgated under the Local Govcrrmcnt
Act, to serve accordingly as the ulocal constitutim" of
the local authority concerned.
Participatory Democracy at Local Level
_ _..L-.-_A-..A-je_- _
At local government level the term participatory
democracy gains a particular meaning. At this level,
where people live, work and take their recreation from
day to day, it is essential that a framework be created to
promote good order and co-operation. It is unfortu-
nately true, however, that this is precisely where he
large gap between developed and underdeveloped areas
becomes evident. hi the interest of the development of
stable communities (and therefore in the interests of
South Africa's future). a dispensation must be estib-
lished that is politically fair to all components of
society. This balance must be given practical
expression in the composition of the political authority
at local level, the city council. Within the boundaries
of every town or city only one noneracial local '
authority will be established with one administration
and one tux base.
b'vh t-r'r. lt'._Ir"_Lp-1 _
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ti"
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WHO
Paiicipatory democracy may be put into effect at 1!
local leel in various ways, inter alia by 1,
1
't nlecting the City Council on the basis of the rep-
resentaton of wards, and accepting property valuations
and votur numbers in :1 fair proportion to one another as
the basi for the delimitation of wards
t't letermining the franchise in accordance with
both tht interests of all lawful residents and the particu-
lar intecsts of owners, lessees and rate-payers
1t :onsidering a combination model in which. for
exampb, half of the city councillors are elected by a
votersltoll on which the names of all residents appear.
and theother half by a voters' roll containing only the
names If owners, lessees and rate-payet's
it groviding .forfspecial decision-making proce-
dures, itch as increased majority votes in the city count 1
cil, in vspcct ofcertqin circumscribed sensitive matters.
N-ighbourhooal Councils
providt, within the broad boundaries of a municipal
area, ftt' the exercise by a geographical neighbourhood
of an otion for self-detemtination over community
interess. This concept involves that
"Fit: National Pihty acknowledges the need to I
1' (I geographical arm within a municipal area may
decide on a voluntary basis to institute a Neigh.
bourhood Council
Mates
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:1
'b a Neighbourhood Council may be elected by the
residents of the nei:hbourhood
h autonomous ponermay be entrusted to the
Neighbourhood Comcil over neighbourhood
matters, such as
- the regulation of norms and standards
for the resilentt'al environment
- the granting of licences/permits in
regard to tie use of property
- the provisim Ofcommunalfacilities
- security .naters and civil protection
- matters thrt may be allocated to a
Neighboutood Council in terms of
other legisbtion, for example education
and welfan
h the Neighbourhool Council may impose an
additional levy on its residents for specific
projects within Its area of jurisdiction.
Ratepayersb associatims and civic organisations
can play an important ml: in the establishment of
Neighbourhood Councils
FREEDOM AND J USTICE F OR ALL IN THE
NEW SOUTH AFRICA
The main points of he National Party's thinking
on constitutional affair; ate outlined in this document.
The principles which the Vational Patty would like to
see built into a constittticn must form a framework
within which a variety of arrangements together format
network in order to guarantee true freedom and justice
for all. Thus? reliance is not placed on single prescrip-
tions or arraftgements to protect the rights of individuals % \left( 1\right) =\left( 1\right) \left( 1\right) 
01 minority groups. The proposed guidelines in fact all
combine to protect the interests of all South Africans .n
three fields in particular:
Political say and effective participation in gov-
ernment structures
The proposed guidelines are aimed at ensuring a
say in political matter: zmd effective participation in the
entire specttum of government structures. In this regard
attention is drawn in particular to the important role
played by the Second House: it has the important func-
tion of protecting the constitution and minority as well as
regional interests. The fact that the :xeCutive power i;
vested in a Presidency in which the most important
leaders are included guarantees paricipation across the
widest possible political spectrum. Finally. the anange-
ment proposed for the local level aims to achieve a
sound balance. while the cnncevpt' o" neighbourhood
councils can ensure an orderly and peaceful rcsidcntitl
environment. Domination is eliminated by these propose
als.
Protection against the abuse of power
The point of departure that South Africa should be a
constitutio '11 state is regarded by me National Party as
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being of ca dinal importance. In the guidelines sketched in this document the emphasis consequently falls on matters such as check; and balances, the distribution of power, a Charter of Fundamental Rights, the integrity of Notes

the constitution, and above all the supremacy of the law, which by means of an independent judiciary g protects the freedom each citizen. The arbitrary exercise of power is eliminated by these proposals. The recognition of free and autonomous fields of interest of communities The guideline that government intervention in the autonomy of institutions in the non-political sphere should belimited has important implications for the citizen. This guideline, which fits into the whole network of constitutional arrangements, takes account of the fact that numerous activities in a society are performed by a variety of groups, often in non-govemmental organizations and institutions, and that it is precisely here that the freedom to proceed without interference by the staze must be protected. Cultural, religious and i sporting activities are specific examples of these, as well as various occupational, professional and other spheres of interest, which cohtdbute to the orderly structure of society through autonomous institutions. The reality of groups, legitimate group interests and the fact that there are always minority groups in society, . -_.._.. I UR ; H :3 I 1' EL VF___, ____. w-v ---' E are acknowledged in the National Partyts guidelines. 3 T herefore it is suggested that the governmentts ability 3 to interfere unnecessarily should be limited. By build-; ing this princigle into the constitution effectively (as ; Your c ommentsnay b e direct ed to: I well as by givmg' it further expressxon m the Charter of 3 Fundamental Rights) communities are guaranteed self- ' The S ecretarGeneml .1 determination in regard to business and professional . N ati onal Part 0 life, trade union affairs, education and culture, sport, re- P. 0. B ox 5653

E ligion, language, tradition and nonepolitical community Arcadia

3 life.